

ALAGAPPA UNIVERSITY

State University | A+ Grade by NAAC (CGPA : 3.64) in the 3rd Cycle
| Category - I University by MHRD - UGC
Karaikudi - 630 003, Tamil Nadu, India



INTELLECTUAL PROPERTY RIGHTS POLICY

Dec 2023

PREAMBLE:

1. The Alagappa University aims to create an academic environment that promotes creativity, innovation, and knowledge sharing with the goal of making the results of research in various fields of knowledge useful to the general public while safeguarding the interests of the University and its scholars.
2. As a result, the University defends the interests of academics and other stakeholders while supporting and encouraging initiatives aimed at bringing the results of research in various domains of knowledge to the public's use and benefit.
3. The University is dedicated to creating an atmosphere that fosters creativity and research and ensures that individuals engaged in these pursuits get just compensation for their endeavors.
4. The University is adopting this intellectual property policy (henceforth referred to as "the IPR Policy") in order to establish the rights and obligations of the University, its faculty, research scholars, students, employees, and all other stakeholders in intellectual property of all kinds existing now and hereinafter formed or established.
5. The policy will control the University's, faculty members', research scholars', students', and other stakeholders' intellectual property rights pertaining to work, products, ideas, and inventions made in connection with University operations.
6. The University is dedicated to creating an atmosphere where research and creativity can flourish and people involved in these pursuits can be fairly rewarded for their work in order to achieve the objectives outlined in the Act's preamble.
7. The university also acknowledges that there is a unique relationship between it and its faculty, staff, and students, and that certain intellectual properties can be generated as a result of the environment and resources

it provides. As a result, the University also wants to profit from intellectual property that instructors and students have generated, when appropriate.

MISSION STATEMENT

To stimulate a dynamic, vibrant and balanced intellectual property rights system in Alagappa University to:

- Foster creativity and innovation and thereby, promote entrepreneurship and enhance socio-economic and cultural development, and
- Focus on enhancing access to healthcare, food security and environmental protection, among other sectors of vital social, economic and technological importance

OBJECTIVES:

- i. To create a transparent administrative system for the ownership, control, assignment, and sharing of the revenues generated by the intellectual properties developed and owned by the University.
- ii. To establish standards for determining the rights and obligations of intellectual property creators (such as inventors, developers, and authors) and their sponsors with regard to inventions and works created at the university's behest.
- iii. To establish an open and well-organized internal administrative system at the university to handle issues pertaining to IP-related activities.
- iv. To enhance the university's reputation as an academic research institution and a member of society by pursuing the highest ideals of scholarship and teaching and by sharing the benefits of that teaching and scholarship with the university community and society at large.
- v. To ensure compliance with applicable laws and regulations and enable the university to secure sponsored research funding at all levels of research.

National IPR Policy suggested Objectives, if any, in addition to the above.

DEFINITIONS:

Intellectual Property: conceived, generated, made, or reduced to practice as the case may be, designs, software programs, genetically engineered microorganisms, business models, and copyrightable work resulting from the intellectual output of the faculty, staff, students, research scholars, and other employees of the College include all results, conclusions, deductions, inventions, ideas, improvements, discoveries, enhancements, solutions, processes, modifications, know-how, data, and information of every kind and description.

Intellectual property rights refer to the rights that come with intellectual property, such as copyright, patents, and registered designs.

IPR Cell: The IPR Cell shall be headed by Professor, ALU as Director IPR appointed by the Vice-Chancellor. The Vice-Chancellor agreed the Director IPR cell's recommendation that the IPR-Advisory committee shall be composed of minimum Four persons with experience in IPR and technology transfer in addition to DEAN-Research and Special Officer- Planning and Development. Vice-chancellor consent is required for the Director of IPR Cell to reconstitute the IPR Advisory Committee, nevertheless. The IPR Cell will periodically have the responsibility of assessing and recommending solutions for IPR-related problems. The IPR cell is authorized to review, revise and recommend the submissions related to the claims of Alagappa University members.

Creation of Intellectual Property: The University may produce IP in the following ways, which include producing content that is patentable or protected by a copyright:

i) In situations where a university assigns a team of its researchers to create a specific piece of copyrighted or patentable material, either from an outside agency or on its own initiative; and in situations where a single researcher or a group of researchers may create copyrighted or patentable material as part of a project or during their research.

ii) When a university and a research team engage into a special arrangement with certain external funding bodies, including the government, foundation, trust, commercial initiative, or corporate undertaking, to generate certain patented or copyrightable items.

Assessment and Administration of Intellectual Property: The University's IPR Cell will oversee the processes of assessing, safeguarding, advertising, and overseeing the intellectual property produced on campus. The IPR creators are required to give the cell all the information required for IPR management. Before choosing to manage the IPR, the IPR Cell will have it assessed by the IPR Advisory Committee and by co-opting the patent attorney and other legal experts as needed on payment basis and/or as per the Govt. of India's Technology Information, Forecasting and Assessment Council (TIFAC) prescribed fees/norms. A patent will only be granted for an invention that has potential commercial value, is motivated, and will be feasible in the long run.

IPR FILING PROCESS

- Inventor(s) has / have to submit Invention Disclosure form / Copyright Disclosure Form to IPR Cell after completing and ensuring the prior-art search in free database.
- IPR Cell will call for presentation before the IPR Cell.
- After an initial evaluation, the qualified application will be sent out for evaluation by an subject expert and will be subsequently forwarded for IPR filing through the approved service provider.

IPR FILING RELATED EXPENSES

Alagappa University shall bear 100% of the IPR filing fee including consultant charges. Through the available funds in the University, the IPR cell make the fees related to IP filing services through an approved patent attorney/services

CONFLICT OF INTEREST

The inventor(s) is / are required to disclose any conflict of interest or potential conflict of interest. If the inventor(s) and/or their immediate family members have a stake in a licensee or potential licensee company then they are necessarily required to disclose the same. A license or an assignment of rights for a patent to a company in which the inventors have a stake shall be subject to the approval of the IPR Cell of Alagappa University taking into consideration this fact.

REVENUE SHARING:

The revenue generated from the Intellectual Property shall be distributed as follows:

- ✓ When Alagappa University is the Applicant, the income from economic use of intellectual property will be shared amongst the University and Research Team as 60% and 40% respectively. The research team may share the income equally among the inventors.
- ✓ When the individual researcher or a team of researchers is the Creator and has used substantial University resources, the Revenue shall be shared amongst the individual researcher and the University and as 80%, 20% respectively.
- ✓ When a Company, Industry or Commercial Undertaking other than Funding Institution is the economic user, the income receivable from the economic user will be as provided in the licensing agreement with that Company, Industry or Commercial Undertaking. Such income will be shared as 60% and 40% between the Funding Agency and the University respectively. The University will distribute the income it so derives to itself, researcher/team of researchers as in the preceding para.
- ✓ The co- owners of intellectual property may at any time by mutual consent revise the distribution of IP Earnings.

APPLICABILITY OF IP POLICY:

- ❖ The IPR Policy of the Alagappa University is applicable to all Personnel making Substantial Use of Resources in the University.